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jc973 U.S. PRO
09/901961
07/10/81

Mailed 2001 July 10

Sir:

Please file the following enclosed patent application papers

Applicant #1. Name: Hai Shum

Applicant #2, Name _____

Title: Method and Apparatus for on Screen Vocabulary for Chinese Language
Word Processing

☒ Specification, Claims, and Abstract Nr of Sheets 13

☒ Declaration Date Signed July 10, 2001

☒ Drawing(s). Nr. of Sheets Enc. Formal 5 Informal. _____

☒ Small Entity Declaration of Inventor(s) ☐ SED of Non-Inventor / Assignee / Licensee

☐ Assignment enclosed with cover sheet and recordal fee, please record and return.

☒ Payment by ☒ check ☐ credit card (form PTO-2038 attached) in the amount of \$ 355.00 for

☒ \$ 355.00 for filing fee (not more than three independent claims and twenty total claims are presented).

☐ \$_____ additional if Assignment is enclosed for recordal

☒ Information Disclosure Statement, Form PTO-1449, and listed references

☐ Disclosure Document Program reference letter

☐ Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. No. _____
filed _____

☒ Return Receipt Postcard Addressed to Applicant #1.

☐ **Request Under MPEP § 707.07(j):** The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant

☒ **Request for Non-Publication:** The undersigned requests that this application not be published 18 months after filing under 35 USC 122(6)(1). The undersigned certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country or under a multilateral international agreement that requires publication of applications 18 months after filing. The undersigned understands that if this application is filed in a foreign country or under such a multilateral international agreement, the PTO must be notified of such filing or the application will be regarded as abandoned.

Very respectfully,

Applicant's Signature

131 Maynard St.
Address (Send Correspondence Here)

San Francisco, CA 94112

N/A
Applicant #2 Signature

Address _____

Express Mail Label #

ET 593937135 US

: Date of Deposit 200_____

In the United States Patent and Trademark Office

Mailed ²⁰⁰¹~~199~~ July 10

Box Patent Application
Assistant Commissioner for Patents
Washington, District of Columbia 20231

Fee Transmittal

First-Named Applicant Hai Shum
Title of Invention: "Method and Apparatus for on Screen Vocabulary for Chinese Language ^{Word processing.}
Total Payment Enclosed (From Calculation Below): \$ 355.00 ☒ Check ☐ Money Order

Sir:

Enclosed is the following small entity filing fee for the above patent application:

Fee Code	Fee Description	Fee (\$)
214	Provisional Pat. Appn. Filing Fee	
201	Basic Utility Appn. Filing Fee	<u>\$ 355.00</u>
206	Basic Design Appn. Filing Fee	
	Subtotal (1)	<u>\$ 355.00</u>
203	Total Claims: _____ - 20 = _____ ; X _____ (fee for each claim over 20) = _____	
202	Tot. Indep. Claims _____ - 3 = _____ ; X _____ (fee for each indep. claim over 3) = _____	
	Subtotal (2)	
Total Payment Enclosed [Sum of Subtotals (1) and (2)]		<u>\$ 355.00</u>

Very respectfully,

Hai Shum
Signature of First-Named Applicant

Hai Shum
Print Name of First-Named Applicant

131 Maynard ST.
Address

San Francisco, CA 94112

In the United States Patent and Trademark Office

First/Sole Applicant: Hai Shum

Joint/Second Applicant: N/A

Title: Method and Apparatus for on Screen Vocabulary for Chinese Language Word Processing

Small Entity Declaration—Independent Inventor(s)

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed—and am under no obligation under any contract or law to assign, grant, convey, or license—any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed—or am under an obligation under contract or law to assign, grant, convey, or license—any rights in the invention is listed below:

☒ There is no such person, concern, or organization.

☐ Any applicable person, concern, or organization is listed below:*

Full Name: N/A

Address: _____

I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Hai Shum
Signature of Sole/First Inventor

Hai Shum
Print Name of Sole/First Inventor

July 10, 2001
Date of Signature

N/A
Signature of Joint/Second Inventor

Print Name of Joint/Second Inventor

Date of Signature

*Note: A separate Small Entity Statement is required from any listed entity